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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.

: 10/520,495

Confirmation No. 9871

Applicant(s)

: COSTA, Fulvio et al.

Filed

: 01/05/2005

TC/A.U

2812

Examiner Title

To Be Assigned

ol-Gel Process for the Preparation of Vitreous Films Possessing High Althesion Properties and Stable Colloidal Solutions Suitable for its Carrying

Dut the Same

Docket N Customer No. 033965.005

25461

Mail Stop AMENDMENT Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure under 37 C.F.R. 1.56, Applicants are enclosing an Information Disclosure Statement by Applicant (Form PTO/SB/08a) and copies of the documents cited therein. The relevance of the cited documents is indicated in the patent specification, filed January 5, 2005. U.S. Patent No. 6,562,408 is the equivalent of Italian Patent Application No. 98 A 000004, cited on page 3, line 24 of the patent specification.

It is respectfully requested that the cited documents be considered by the Examiner in the aboveidentified patent application and that the cited documents be made officially of record therein. It is further requested that a listing of the same appear on the face of any patent which may issue from this application.

A first Official Action has not yet issued for this application. Therefore, it is believed that no fees are due under 37 C.F.R. Section 1.97(b)(3).

Respectfully submitted,

By: Robert G. Weilacher, Reg. No. 20,531

Dated: June 13, 2005

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Suite 3100, Promenade II 1230 Peachtree Street, N.E. Atlanta, Georgia 30309-3592

Ph: (404) 815-3593 Fax: (404) 685-6893 Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO				Complete if Known		
				Application Number	10/520,495	
INFORMATION DISCLOSURE				Filing Date	01/05/2005	
STATEMENT BY APPLICANT			PPLICANT	First Named Inventor	COSTA, Fulvio et al.	
				Art Unit	2812	
(Use as many sheets as necessary)			necessary)	Examiner Name	To Be Assigned	
Sheet	1	of	2	Attorney Docket Number	033965.005	

	U.S. PATENT DOCUMENTS						
Examiner	Cite No.1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant		
Initials *		Number - Kind Code ² (if known)	MM-DD-YYYY		Passages or Relevant Figures Appear		
		US- 6,562,408	05-13-2003	COSTA ET AL.	-		
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	FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
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Examiner Signature	Date Considered	

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08b(08-03) Approved for use through 07/31/2006. OMB 0651-0031

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

ostitute for form 1449B/PTO

Complete if Known Application Number 10/520,495 Filing Date 01/05/2005 First Named Inventor COSTA, Fulvio et al. Art Unit 2812 Examiner Name To Be Assigned

(Use as many sheets as necessary)

Sheet 2 2 Attorney Docket Number 033965.005

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	riate), title of olume-issue			
		HOLMES, A. S. ET AL., "Fabrication of buried channel waveguides on silicon substrates using spin-on glass," Applied Optics, September 1, 1993, Pages 4916-4921, Vol. 32, No. 25, Optical Society of America, Washington, D.C.			
		ALMEIDA, RUI M., "Sol-gel silica films on silicon substrates," International Journal of Optoelectronics, 1994, Pages 135-142, Vol. 9, No. 2, Taylor & Francis Ltd., United Kingdom			
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